Establishing neuronal identity in vertebrate neurogenic placodes

Clare V. H. Baker* and Marianne Bronner-Fraser
Division of Biology, Beckman Institute 139-74, California Institute of Technology, Pasadena, California 91125, USA
*Author for correspondence (e-mail: cbaker@caltech.edu)
Accepted 28 April; published on WWW 22 June 2000

SUMMARY

The trigeminal and epibranchial placodes of vertebrate embryos form different types of sensory neurons. The trigeminal placodes form cutaneous sensory neurons that innervate the face and jaws, while the epibranchial placodes (geniculate, petrosal and nodose) form visceral sensory neurons that innervate taste buds and visceral organs. In the chick embryo, the ophthalmic trigeminal (opV) placode expresses the paired homeodomain transcription factor Pax3 from very early stages, while the epibranchial placodes express Pax2. Here, we show that Pax3 expression in explanted opV placode ectoderm correlates at the single cell level with neuronal specification and with commitment to an opV fate. When opV (trigeminal) ectoderm is grafted in place of the nodose (epibranchial) placode, Pax3-expressing cells form Pax3-positive neurons on the same schedule as in the opV placode. In contrast, Pax3-negative cells in the grafted ectoderm are induced to express the epibranchial placode marker Pax2 and form neurons in the nodose ganglion that express the epibranchial neuron marker Phox2a on the same schedule as host nodose neurons. They also project neurites along central and peripheral nodose neurite pathways and survive until well after the main period of cell death in the nodose ganglion. The older the opV ectoderm is at the time of grafting, the more Pax3-positive cells it contains and the more committed it is to an opV fate. Our results suggest that, within the neurogenic placodes, there does not appear to be a two-step induction of ‘generic’ neurons followed by specification of the neuron to a particular fate. Instead, there seems to be a one-step induction in which neuronal subtype identity is coupled to neuronal differentiation.

Key words: Placodes, Trigeminal placode, nodose placode, Pax2, Pax3, Phox2a, Chick, Quail, Sensory neurons

INTRODUCTION

Placodes are discrete regions of thickened columnar epithelium that form in characteristic positions in the head of vertebrate embryos (Le Douarin et al., 1986; Webb and Noden, 1993). They make vital contributions to the paired sense organs (eyes, ears and nose) and to the peripheral sensory nervous system in the head. The trigeminal and epibranchial (geniculate, petrosal and nodose) placodes all form sensory neurons, but these neurons perform different functions according to their placode of origin. For example, the two trigeminal placodes (ophthalmic and maxillomandibular) form cutaneous sensory neurons in the trigeminal ganglion that transmit touch, pain and temperature information from the face and jaws. The nodose placode produces visceral sensory neurons in the distal ganglion of the vagal nerve that innervate a variety of receptors in the heart, lungs and gastrointestinal tract. Nodose neurons transmit information about, for example, heart rate, blood pressure, bronchial irritation and visceral distension. Much is known about the growth and survival factors required throughout development by neurons in the different placode-derived ganglia (reviewed by Lindsay, 1996; Davies, 1998). However, the mechanism by which different types of neurons are generated from different placodes is poorly understood.

Placode induction is a lengthy process that begins in late gastrula stages, involving a series of inducers from different embryonic tissues including endoderm, mesoderm and the neural tube (Jacobson, 1963a,b,c). Historically, the commitment of placodal ectoderm towards a specific placodal fate has been tested either by substituting different placodal primordia for one another, or by grafting them into non-placode-forming locations like the trunk. Substitution experiments have identified successive stages in placode commitment. Grafts performed at very early stages can lead to adoption of a fate entirely appropriate to the graft location, suggesting that commitment towards a particular placodal fate has not yet occurred (Vogel and Davies, 1993). By tailbud stages, most placodes are no longer able to change their function when grafted to ectopic positions (Stone, 1924, 1928, 1929).

It is possible to look more precisely at different stages in placode development by determining when placodal ectoderm is committed to express a specific early molecular marker in ectopic environments. Such experiments address the plasticity of placodal ectoderm at different times in development, and the extent to which early marker expression correlates with the commitment of the ectoderm to a specific placodal fate. In recent years, members of the Pax family of paired domain transcription factors (reviewed by Mansouri et al., 1996; Dahl et al., 1997) have been found to be expressed from early stages
in different placodes, suggesting the existence of a placodal ‘Pax code’. Pax6 is essential for the development of the lens and olfactory placodes (Hill et al., 1991; Hogan et al., 1986), while Pax2 is essential for the development of the otic placode (Favor et al., 1996; Torres et al., 1996). We recently showed that Pax3 is an early molecular marker for the ophthalmic trigeminal (opV) placode and performed a detailed analysis of its induction (Baker et al., 1999; Stark et al., 1997).

Here, we show that Pax3 expression in explanted opV ectoderm correlates with neuronal specification and with commitment to an opV fate. When opV ectoderm is grafted to the nodose placode region, Pax3-expressing cells form Pax3-positive neurons that differentiate on the same schedule as opV neurons. Non-Pax3-expressing cells are induced to express the epibranchial placode marker Pax2 and form neurons in the nodose ganglion on the same schedule as nodose neurons. These neurons express the epibranchial placode-specific marker Phox2a, project along nodose-specific central and peripheral pathways, and survive until well after the main period of cell death in the nodose ganglion. As the number of Pax3-expressing cells increases, competence to express epibranchial placode markers decreases. Hence, within the placodes, there does not appear to be a two-step induction of ‘generic’ neurons followed by specification of the neurons to a particular fate. Instead, neuronal subtype identity seems to be coupled to neuronal differentiation in a one-step induction process.

MATERIALS AND METHODS

Quail-chick grafts

Quail and chick eggs were prepared and grafts performed as previously described (Baker et al., 1999). For some grafting experiments, the donor quail embryos were dispase-treated before isolation of the ectoderm. Embryos were explanted into cold Ringer’s solution and in 1 mg/ml dispase in Hapes-buffered DMEM for 15 minutes on ice and 10 minutes at 37°C. After at least 10 minutes recovery on ice in complete medium (10% horse serum, 15% chick embryo extract in Eagle’s Minimal Essential Medium), they were transferred to cold Ringer’s solution for dissection. When grafting dispase-treated ectoderm, a drop of ice-cold Ringer’s solution was placed on the host embryo as this helped to reduce curling of the grafted ectoderm.

Surface Dil labelling

Dil labelling of surface ectoderm was performed on 8-somite stage and older embryos so that placode-derived cells could be distinguished from underlying neural crest cells during analysis (Stark et al., 1997). Briefly, 50 μg CellTracker Dil (Molecular Probes) were resuspended in 25 μl ethanol and then diluted to 400 μl with 10% sucrose. The vitelline membrane was removed from over the midbrain region and a few drops of Dil mouth-pipetted onto the surface of the embryo. After 10-20 minutes, the embryos were washed extensively in Ringer’s solution and the ectoderm removed using pulled glass needles.

Collagen gel cultures

Collagen matrix gels were prepared as previously described (Baker et al., 1999) and cultured for 20-36 hours in F-12 medium plus N-2 supplements (Gibco-BRL) at 38°C, 5% CO2. They were fixed in 4% paraformaldehyde for 1-2 hours, and processed for cryostat sectioning as described by Sechrist et al. (1995).

Immunohistochemistry

Embryos collected after 0 hours to 2 days incubation were fixed in 4% paraformaldehyde for 1-2 hours and processed for cryostat sectioning as described by Sechrist et al. (1995). Embryos collected after 4-5 days incubation were fixed overnight in 4% paraformaldehyde at 4°C and similarly processed. 12 μm sections of embryos or collagen gels (see above) were mounted on Superfrost® Plus glass slides (Fisher) and the gelatin removed by treating the slides in phosphate-buffered saline (PBS) at 42°C for 5 minutes. Antibodies (see below) were diluted in PBS containing 0.1% bovine serum albumin and 0.1% Triton X-100. For the anti-quail neurite QCN antibody only, slides were blocked for 1 hour in 10% fetal bovine serum in the same solution. Slides were generally incubated overnight at 4°C in primary antibody solution, and for 1-2 hours in secondary antibody solution, with three 5-minute washes in PBS between each step. Where biotinylated secondary antibodies were used, slides were further incubated for 1 hour in avidin-NeutraAvidin™ Alexa™ 350 (Molecular Probes) at 1:100 in PBS, followed by three 5-minute washes in PBS. Slides were washed in distilled water, mounted in Fluoromount-G (Southern Biotechnology) and viewed by fluorescence microscopy. Only those chimeras that had successfully incorporated the donor graft were scored.

Primary antibodies were used as follows: 1:1 anti-quail QCPN supernatant (mouse IgG1; Developmental Studies Hybridoma Bank, University of Iowa); 1:5000 anti-Pax3 ascites (mouse IgG2a; see Baker et al., 1999); 1:200 anti-Pax2 antibody (rabbit; Zymed); 1:300 anti-neurofilament (NF-M) antibody RMO 270.3 (mouse IgG2a; Lee et al., 1987; gift from Dr Virginia Lee); 1:500 anti-neuron-specific β-tubulin antibody TuJ1 (mouse IgG2a; BABC0); 1:100 anti-Hoxb5 antibody (rabbit; Wall et al., 1992; gift from Dr Brigid Hogan), and 1:1 anti-quail neurite QCN supernatant (mouse IgG1; Tanaka et al., 1990; gift from Dr Hideaki Tanaka). Subtype-specific FITC-, TRITC-, biotinylated and horseradish peroxidase-conjugated secondary antibodies were obtained from Southern Biotechnology, Zymed and Amersham. Goat anti-rabbit Alexa®488 (for Hoxb5) was obtained from Molecular Probes.

Embryos collected after 11 or more days of incubation were fixed overnight in Carnoy’s solution (60% ethanol, 30% chloroform, 10% acetic acid). They were dehydrated in 100% ethanol, changed into Histosol (National Diagnostics), embedded in Paraplast® (Oxford Labware) under reduced air pressure and sectioned at 10 μm. The sections were dried overnight at 37°C and stored at room temperature. Endogenous peroxidase was blocked by incubating sections for 30 minutes in 0.015% hydrogen peroxide in PBS. The sections were then processed for QCPN staining as described above, but using horseradish peroxidase-conjugated goat anti-mouse IgG1 (Zymed) at 1:200 as the secondary antibody. The colour reaction was performed in 0.05 mg/ml diaminobenzidine 3’3’ tetrahydrochloride (DAB; Sigma), 0.0045% hydrogen peroxide in PBS. Gill’s hematoxylin (Fisher) was used as a background nuclear stain. The slides were dehydrated and mounted in Permount (Fisher).

In situ hybridisation on paraffin sections

In situ probes for chicken Pax2 and Phox2a were obtained from Domingos Henrique and Jean-François Brunet, respectively. Whole-mount in situ hybridisation was performed as described by Henrique et al. (1995). For in situ hybridisation on paraffin sections, E5.5-6.5 embryos were fixed for 4 hours in modified Carnoy’s solution (60% ethanol, 30% stock [37%] formaldehyde [11.1% final formaldehyde concentration], 10% acetic acid). After dehydration and paraffin sectioning at 5-6 μm as described above, a modified version of Strähle et al. (1994) and Henrique et al. (1995) was used (protocol obtained from Dr H. Etchevers, Nogent-sur-Marne, France). A detailed protocol is available on request.

For post-in situ immunohistochemistry with QCPN, slides were blocked for 30 minutes in 0.015% hydrogen peroxide in PBS. After
Although gels without serum for 20-36 hours. quail donors and cultured them in collagen ectoderm pieces from 3- to 13-somite stage form neurons, we explanted midbrain-level opV placode ectoderm becomes specified to 1989). In order to test when presumptive neuron-specific b-tubulin can be detected from the 19-somite stage (Moody et al., unpublished). Using the TuJ1 antibody, Pax3 expression correlates with neuronal specification in opV ectoderm as early as the 13- to 14-somite stage (J. Sechrist and M. B.-F., unpublished). Using the TuJ1 antibody, neuron-specific b-tubulin can be detected from the 19-somite stage (Moody et al., 1989). In order to test when presumptive opV placode ectoderm becomes specified to form neurons, we explanted midbrain-level ectoderm pieces from 3- to 13-somite stage quail donors and cultured them in collagen gels without serum for 20-36 hours. Although Pax3 expression is detectable by in situ hybridisation at the 4-somite stage in ectoderm above the neural tube (Stark et al., 1997), the explants were taken a little more laterally to avoid accidental inclusion of neural tissue, as in Baker et al. (1999). No Pax3 expression was seen in 3- to 4-somite stage ectoderm that was fixed immediately after explanting (n=10; data not shown). Since midbrain-level neural crest cells migrate beneath presumptive opV placode ectoderm from the 8-somite stage, the donor ectoderm of 8-somite stage and older embryos was pre-labelled with DiI before explanting (Stark et al., 1997). This enabled placodal (DiI positive) neurons to be distinguished from any neural crest-derived neurons in the explants (enzyme treatment before explanting was found to be insufficient to remove all adherent neural crest cells). After sectioning, the collagen gels were immunostained with the anti-Pax3 antibody and scored for Pax3-expressing cells, then immunostained with the anti-neurofilament antibody to identify neurons. The results were pooled into four time periods, viz. 3- to 4-somite stage, 5- to 7-somite stage, 8- to 9-somite stage and 10- to 13-somite stage. They are presented in Fig. 1.

**RESULTS**

**Pax3 expression correlates with neuronal specification in opV ectoderm**

Pax3 expression in presumptive ophthalmic trigeminal (opV) placode ectoderm is first detectable by in situ hybridisation at the 4-somite stage, within the lateral ectoderm of the midbrain neural folds (Stark et al., 1997). The results were pooled into four time periods, viz. 3- to 4-somite stage, 5- to 7-somite stage, 8- to 9-somite stage and 10- to 13-somite stage. They are presented in Fig. 1.

As shown graphically in Fig. 1B, the percentage of explants showing neurofilament staining progressively increased with donor age, correlating well with the progressive increase in Pax3 expression except at the 3- to 4-somite stage. Low levels of neurofilament staining were present in a few (<10) cells in 29% of 3- to 4-somite stage explants (n=24), and a single process-bearing neuron was seen in one explant (4%), but no Pax3 expression was detected. In our previous experiments, however, Pax3 expression was observed in a few cells in 14% of 3- to 4-somite stage explants (Baker et al., 1999; n=29). Furthermore, a few Pax3-positive quail cells were seen in 31% of embryos after grafts of 3- to 4-somite stage quail ectoderm to the nodose region of host chick embryos (n=13) (Fig. 4B; see below). This suggests that in a minority of cases, a few cells in 3- to 4-somite stage opV ectoderm are committed to Pax3 expression, correlating with the limited neurofilament staining in a few cells in a minority of the explants. However, this early commitment of a few cells to Pax3 expression, while observed in vivo, may not have been supported in our explant conditions (similar results were obtained in studies on amphibian placode induction; Reyer, 1962). In older explants,
neuronal specification correlated almost exactly with Pax3 expression. In 5- to 7-somite stage explants, 45% contained a limited amount of neurofilament staining and occasional process-bearing neurons, while 40% contained a few (<10) Pax3-positive cells \((n=40)\). At the 8- to 9-stage, 72% of explants contained at least a few Dil-positive (ectoderm-derived) neurons \((n=20)\), while 81% contained at least a few Pax3-positive cells, most of which were neurons \((n=21)\). By the 10- to 13-somite stage, all explants contained several to many (>10) Dil-positive (ectoderm-derived) neurons \((n=40)\) and several to many Pax3-positive cells, most of which were neurons \((n=31)\) (Fig. 1C-E). The great majority of Pax3-positive cells in the 8- to 13-somite stage explants were obviously Dil-positive and therefore ectoderm-derived, with the exception of a few Pax3-positive neurons lacking detectable Dil in two of the 10- to 13-somite stage explants \((5\%, n=40)\). A significant increase in neuronal specification is seen at the 8-somite stage, correlating with a marked increase in Pax3-positive cells at this stage (also see Baker et al., 1999).

Interestingly, opV ectoderm cells first begin to withdraw from the cell cycle at about the 8- to 10-somite stage (J. Sechrist and M. B.-F., unpublished data).

**Analysis of commitment of opV ectoderm by grafting it to the nodose placode**

In order to examine when presumptive opV placode ectoderm loses competence to respond to other placode-inducing signals and becomes committed to an opV fate, we challenged the ectoderm by grafting it to the nodose placode. The nodose placode, which forms the neurons of the distal ganglion of the vagal nerve, is the most caudal of the three epibranchial placodes (geniculate, petrosal and nodose). On the fate map of the 8-somite stage embryo it partially maps the ectoderm lateral to the paraxial mesoderm, at the level of the first two somites (D’Amico-Martel and Noden, 1983). We chose the nodose placode for these experiments because it forms at some distance from the neural tube. We had previously shown that Pax3 is induced in unspecified opV ectoderm grafted adjacent to the neural tube at all axial levels (Baker et al., 1999). Hence, if presumptive opV placode ectoderm were grafted to any placode-forming region adjacent to the neural tube, it would be exposed to Pax3-inducing signals from the neural tube in addition to the other placode-inducing signals (see Baker et al., 1999). This would make it impossible to determine whether Pax3 expression in the new location was due to prior commitment of the ectoderm, or to de novo induction of Pax3. The nodose placode forms at a sufficient distance from the neural tube to avoid de novo induction of Pax3 in opV ectoderm grafted to that location (also see Baker et al., 1999).

We used the paired homeodomain transcription factor Pax2 as an early marker for nodose placode ectoderm, and the paired homeodomain transcription factor Phox2a as a marker for nodose placode neurons (Begbie et al., 1999; Valarché et al., 1993). As previously described, Pax2 is expressed at early stages in the otic placode in the chick (Herbrand et al., 1998). However, it is also expressed at E2.5 in a broad band of ectoderm encompassing the ectoderm fated to form the three epibranchial placodes (Fig. 2A; D’Amico-Martel and Noden, 1983). By E3.5, it is expressed specifically in the otic vesicle and the ectoderm of the forming epibranchial placodes, including the nodose placode (Fig. 2B). This can be compared to the expression of Phox2a, which in the head specifically marks neurons of the three epibranchial placodes (Valarché et al., 1993; Morin et al., 1997; Fig. 2C,D).

As a control for Pax2 expression in quail ectoderm grafted to the nodose region, we isolated presumptive nodose placode ectoderm (lateral to the first two somites) from dispase-treated 8-9 somite stage quail embryos. We grafted it isotopically to the same region of host chick embryos (Fig. 3A): the grafted quail ectoderm expressed high levels of Pax2 at E2.5 \((n=2)\); Fig. 3B,C).

In order to address the competence of opV ectoderm to express Pax2 in response to epibranchial placode-inducing signals, 3- to 16-somite stage opV ectoderm was grafted lateral to the first two somites at the 3- to 10-somite stage and the embryos fixed 16-31 hours later (Fig. 4A). After sectioning, we looked for Pax2-positive quail ectoderm cells and/or for...
Pax3-positive quail cells in the ectoderm and mesenchyme. The results are presented graphically in Fig. 4B, and examples shown in Fig. 4C-G. In all grafts, a substantial region of host placode remained, suggesting that the nodose placode was not completely replaced by the grafted opV ectoderm. Only those grafts that were incorporated...

Fig. 3. Presumptive nodose placode ectoderm grafted isotopically from quail to chick embryos expresses Pax2 at E2.5. (A) Schematic showing the region of ectoderm grafted. (B) Transverse section through the nodose placode region of a chick host collected 23 hours after an isotopic, isochronic graft of 8-somite stage quail nodose placode ectoderm. Pax2 expression is green. (C) Higher-power view of the boxed area in B, showing QCPN-positive quail cells in red. Pax2-positive quail cells in the ectoderm are yellow (arrows). nt, neural tube; ph, pharynx.

Fig. 4. Presumptive opV placode ectoderm from quail donors progressively loses competence to express Pax2 when grafted to the nodose placode of chick hosts. (A) Schematic diagram showing the graft procedure. (B) Histogram showing the percentage of chimeras with Pax2-positive and Pax3-positive quail cells 16-31 hours after grafts from different donor stages. Donor stage, somite stage. The number of grafts (n) is listed at the top of each column. Pax2 expression (green) declines as Pax3 expression (yellow) increases within the grafted ectoderm. By the 14- to 18-somite stage, fewer than five Pax2-positive cells were seen per embryo. (C) Transverse section through the nodose placode region of a chick host collected 20.5 hours after a graft of 4-somite stage quail midbrain-level ectoderm. Pax2 expression is green. (D) Higher-power view of the boxed area in C, showing QCPN-positive quail cells in red and Pax2 in green. Pax2-positive quail cells in the ectoderm are yellow (arrows). Yellow cells in the aorta (a) are blood cells. (E-G) Older opV ectoderm is less competent to express Pax2 and expresses more Pax3. These panels show a transverse section through the nodose placode region of a chick host collected 21 hours after receiving a graft of 12-somite stage opV placode ectoderm. (E) Pax3-positive cells (green) in the ectoderm and in the mesenchyme (arrowheads). Arrow indicates Pax3-positive cells that are also Pax2-positive (see also F and G). (F) Pax2 expression (blue) in the ectoderm. (G) QCPN-positive quail cells in red (the ectoderm was prelabelled with DiI before grafting, also seen in red), together with Pax3 (green) and Pax2 (blue). The Pax3-positive cells in the mesenchyme and ectoderm are seen to be quail cells (arrowheads). Arrow indicates two Pax2+Pax3+ quail cells in the ectoderm. Note that the majority of the grafted ectoderm is Pax2-negative, sandwiched between Pax2+ host ectoderm. This was the only case seen of Pax2+Pax3+ cells. a, aorta; nt, neural tube; dm, dermomyotome.
within the host Pax2-positive region were scored for Pax2 expression. The results show that competence to respond to Pax2-inducing signals declined as Pax3 expression increased, with increasing donor age (Fig. 4B). The Pax3 expression in these embryos reflects Pax3 induction in the ectoderm prior to grafting, and parallels the Pax3 commitment data obtained previously by grafting the ectoderm over the lateral plate mesoderm in the trunk (Baker et al., 1999). 91% of grafts of 3- to 4-somite stage opV ectoderm contained at least a few (<10) and usually many Pax2-positive quail ectoderm cells at E2.5 (n = 11) (Fig. 4C,D), while only 31% contained any (<5) Pax3-positive cells (n = 13). The Pax2-positive cells were generally grouped together, as seen in Fig. 4D, rather than being scattered in the ectoderm. Presumptive opV ectoderm taken at the 5- to 6-somite stage gave rise to at least a few Pax2-positive quail ectoderm cells in 62% of cases (n = 8), and to at least a few Pax3-positive quail cells in 60% of cases (n = 10). After grafts of 8-somite stage and older opV ectoderm, most embryos showed no Pax2 expression at all. At the 8- to 9-somite stage, only 27% of grafts formed any Pax2-positive quail ectoderm cells (n = 11), while 86% contained at least a few Pax3-positive quail cells (n = 14). After grafts of 10-somite stage and older opV ectoderm, there were fewer than five Pax2-positive cells in 42% of 10- to 13-somite stage ectoderm grafts (n = 19) (Fig. 4E,F), and 17% of 14- to 18-somite stage ectoderm grafts (n = 6). In contrast, all embryos that received grafts of 10-somite stage and older opV ectoderm contained at least a few Pax3-positive cells (total n = 32) (Fig. 4E-G). Interestingly, out of 17 embryos that contained both Pax3-positive and Pax2-positive quail cells at E2.5, only two Pax2⁺Pax3⁺ quail cells were seen. These were both in one section of an embryo that had received a graft of 12-somite stage opV ectoderm (Fig. 4E-G). Together with the robust expression of Pax3 in older opV ectoderm, this suggests that co-expression of Pax3 and Pax2 is a rare and/or transient event.

After the 8-somite stage, the grafts contained mesencephalic neural crest cells, which migrate beneath the opV ectoderm. It was difficult to remove these neural crest cells even with dispase treatment. However, the presence of mesencephalic neural crest cells did not seem to affect the expression of Pax2 in the ectoderm, as competence to express Pax2 began to fall before neural crest cells were present in the grafts (i.e. before the 8-somite stage), and extended for some time afterwards. Mesencephalic neural crest cells down-regulate Pax3 expression after the initial stages of their migration, such that high levels of Pax3 are only seen in placode-derived cells at E2.5 (see Baker et al., 1999; Stark et al., 1997). Mesenchymal Pax3-positive quail cells were seen both scattered as individual cells or in small clusters (e.g. Fig. 4E-G).

As a further control for the presence of neural crest cells in the grafts after the 8-somite stage, we performed ‘double grafts’. In these experiments, 4- to 6-somite stage opV quail ectoderm was grafted isotopically and isochronically into chick hosts (i.e. before neural crest cell migration). The first hosts were incubated to the 10- to 13-somite stage, and then the same region of ectoderm was re-grafted from these embryos to the nodose region of a second host. Any neural crest cells present in the graft are of chick origin, from the first host; thus, the only quail cells present are ectoderm-derived. Pax3-positive quail cells were seen at E2.5 in the mesenchyme of four out of five such double-grafted embryos. They were found in similar numbers and arrangements as were seen in the single grafts of opV ectoderm after the 8-somite stage (data not shown).

These results suggest that as the number of Pax3-positive cells increases in opV ectoderm, it becomes increasingly committed to Pax3 expression and concomitantly loses competence to respond to Pax2-inducing signals present at the level of the nodose placode.

**OpV ectoderm forms neurons in the nodose ganglion**

We used the TuJ1 anti-neuron-specific β-tubulin antibody to look for quail neurons in sections of E3.5 chimeras that had received 3- to 4-somite stage opV ectoderm grafts to the nodose region at the 3- to 8-somite stage. At E3.5, 96% of 3- to 4-somite stage opV quail ectoderm grafts had formed neurons in the forming nodose and/or petrosal ganglia (n = 24).
The final position of some of the grafts evidently extended sufficiently rostrally for the ectoderm to contribute neurons not only to the nodose but also to the petrosal ganglion (for fate-map, see D’Amico-Martel and Noden, 1983). As shown above, most 3- to 4-somite stage opV ectoderm grafts contained at least some Pax2-positive cells at E2.5 (91%; n=11), while most (69%) contained no Pax3-positive cells (n=13). Similarly, all 4-somite stage opV ectoderm grafts analysed for Pax2 at E3.5 contained substantial amounts of Pax2-expressing ectoderm (n=8), while the majority (75%) contained no Pax-3-positive cells (n=8). Very few, if any, neurons are specified in 3- to 4-somite stage opV ectoderm (see above); no Pax3 is induced de novo in the nodose placode region, and the majority of 3- to 4-somite stage opV ectoderm grafts formed no Pax3-positive cells at E2.5 or E3.5. However, almost all 3- to 4-somite stage opV ectoderm grafts formed neurons in the nodose and/or petrosal ganglia at E3.5. These results suggest that the majority of these quail neurons were derived from ectoderm that had been induced to express Pax2. Indeed, in one embryo, Pax2 was seen faintly in both host and graft-derived cells that had delaminated from the nodose placode, including at least a few neurons in or near the ectoderm (Fig. 5A,B). Hence, 3- to 4-somite stage opV ectoderm grafted to the nodose region both expresses the epibranchial placode marker Pax2 and forms neurons in the nodose ganglion that are likely to be derived from Pax2-expressing cells.

**OpV ectoderm-derived neurons in the nodose ganglion express Phox2a**

To determine whether the grafted ectoderm forms neurons of appropriate character in the nodose ganglion, we used the paired homeodomain transcription factor gene Phox2a as a marker (Valarché et al., 1993). This gene is expressed by and is essential for the development of epibranchial placode-derived neurons (Fig. 2C,D) (Morin et al., 1997; Valarché et al., 1993), but it is not expressed in any part of the trigeminal ganglion. When 4- to 6-somite stage opV ectoderm was grafted to the nodose region of 6- to 8-somite stage host embryos, 88% contained at least a few clearly Phox2a-positive quail cells within the nodose ganglion at E3.5 (n=8; Fig. 5C-F). Not all quail cells within or associated with the ganglion were Phox2a-positive. Our results therefore confirm that 4- to 6-somite stage opV ectoderm is competent to give rise to neurons of epibranchial character in the nodose ganglion.

**OpV ectoderm is not competent to express the nodose-specific marker Hoxb5**

The results described above show that when grafted to the nodose region, 4-somite stage opV ectoderm does not express the opV marker Pax3. It is competent to express the epibranchial placode marker Pax2, and forms Phox2a-positive neurons in the nodose ganglion. However, Pax2 and Phox2a are general epibranchial placode markers, rather than nodose placode-specific markers. Hoxb5 is expressed at E3.5 in nodose placode and neurons, but not in any of the other, more rostral placodes (Kuratani and Wall, 1992; Wall et al., 1992). Although Hoxb5 does not seem to be necessary for the formation of nodose neurons (Rancourt et al., 1995), we used it as a molecular marker for nodose placode-derived neurons at E3.5-E4.5.

In control experiments, four out of five isotopic grafts of 4- to 8-somite stage presumptive nodose placode ectoderm (lateral to the first two somites) formed many Hoxb5-positive neurons in the nodose ganglion at E3.5 (Fig. 6A-C). Similarly,
all grafts of 4- to 6-somite stage otic-level ectoderm (which normally does not express Hoxb5) gave rise to at least a few Hoxb5-positive cells in the nodose ganglion (n=7; data not shown). This shows that non-nodose ectoderm can be induced to express Hoxb5 when grafted to the nodose placode region. When 3- to 4-somite stage opV ectoderm was grafted to the nodose region, however, Hoxb5-positive quail cells were never seen at E3.5, although quail neurons were always present (n=10). Three of the donor embryos for these grafts were dispase-treated to ensure removal of any midbrain-level cephalic mesoderm that might affect Hox gene induction in the grafted ectoderm (Gould et al., 1998; Itasaki et al., 1996; Trainor and Krumlauf, 2000). Similarly, 5- to 6-somite stage opV ectoderm grafted to the nodose region did not express Hoxb5 at E3.5-E4.5, but formed neurons in the nodose ganglion in 88% of cases (n=8; Fig. 6D-F). All of these donor embryos were dispase-treated to ensure removal of any contaminating midbrain-level cephalic mesoderm.

Taken together, our results suggest that opV ectoderm taken even before it expresses any significant amount of Pax3 (at the 3- to 4-somite stage), is competent to express the early epibranchial placode marker Pax2 and to form Phox2a-positive neurons in the nodose ganglion. However, neither the grafted ectoderm nor graft-derived neurons in the nodose ganglion express Hoxb5, which is expressed in nodose neurons (Kuratani and Wall, 1992; Wall et al., 1992) but does not seem to be required for their formation (Rancourt et al., 1995). This suggests that even at the 3- to 4-somite stage, presumptive opV ectoderm is qualitatively different from more caudal ectoderm such that it does not respond to Hoxb5-inducing signals present in the nodose placode region.

**OpV ectoderm-derived neurons show nodose-like central and peripheral projections and survive in the nodose ganglion until at least E12.5**

Given the lack of Hoxb5 expression in opV ectoderm grafted to the nodose region, it was important to characterise further the nature of the neurons derived from this ectoderm. We

---

**Fig. 7.** OpV ectoderm-derived neurons in the nodose ganglion project centrally and peripherally together with surrounding host neurons at E5.5 (A-L). They survive in the nodose ganglion until at least E12.5, well after the main period of cell death in the ganglion (M,N). (A) Parasagittal section through the nodose ganglion root region of a host chick embryo at E5.5, 4 days after receiving a graft of 5-somite stage opV ectoderm. TuJ1-positive neurons are green. (B) Higher-power view of the boxed area in A. (C) Same view as B, but showing QN-positive quail neurites and QCPN-positive quail cells in red. (D) Merging the colour channels shows quail neurites projecting centrally to the hindbrain together with surrounding host neurites. (E) Parasagittal section through the nodose ganglion (nod) of a different host chick embryo, 4 days after receiving a graft of 5-somite stage opV ectoderm. TuJ1-positive neurons are green. (F) Higher-power view of the boxed area in E. (G) Same view as F, but showing QN-positive quail neurites and QCPN-positive quail cells in red. (H) Merging the colour channels shows quail neurites projecting centrally to the hindbrain together with surrounding host neurites. (I) Parasagittal section through the nodose ganglion (nod) of a different host chick embryo, 4 days after receiving a graft of 5-somite stage opV ectoderm. TuJ1-positive neurons are green. (J) Higher-power view of the boxed area in I. (K) Same view as J, but showing QN-positive quail neurites and QCPN-positive quail cells in red. (L) Merging the colour channels shows quail neurites projecting together with host neurites. (M) Parasagittal section through the nodose ganglion (nod) of a chick host embryo at E12.5, 11 days after receiving a graft of 4-somite stage opV ectoderm. QCPN-positive quail cells are brown. (N) Higher-power view of the boxed area in M, showing several quail cells within the ganglion. nod, nodose ganglion; nt, neural tube.
therefore examined the central and peripheral projections of nodose ganglion neurons derived from grafts of 5-somite stage opV ectoderm, by immunostaining parasagittal sections of E5.5 embryos \((n=5)\) with the anti-quail neurite antibody QN (Tanaka et al., 1990). We also analysed the long-term survival of these neurons in the nodose environment.

As shown in Fig. 7A-L, 5-somite stage quail opV ectoderm grafted to the nodose region of 10-somite stage chick hosts, had formed many neurons in the nodose ganglion at E5.5. Their neurites associated with host nodose neurites along normal pathways centrally to the hindbrain (Fig. 7A-D), within the main body of the ganglion (Fig. 7E-H), and peripherally (Fig. 7I-L). Furthermore, 4-somite stage quail opV ectoderm-derived neurons survived in the nodose ganglion until at least E12.5. This is after nodose cell numbers have stabilised at E11, and well after the peak of cell death in the ganglion at E7 (Hiscock and Straznicky, 1986) \((n=2;\) Fig. 7M,N). This suggests that the growth factor requirements of the opV ectoderm-derived neurons were met in the nodose environment. Taken together with the expression of the epibranchial placode marker Pax2 and the epibranchial neuron marker Phox2a, these results suggest that the grafted opV ectoderm has formed epibranchial neurons that are most likely nodose in character.

**Pax3-expressing opV ectoderm follows a primarily opV fate in the nodose region**

As described above, older opV ectoderm that already contains significant numbers of Pax3-positive cells (10-18 somite stage), is much less competent to express Pax2 than is the mostly Pax3-negative 3- to 4-somite stage opV ectoderm. 60% of 10- to 18-somite stage opV ectoderm grafts showed no Pax2 expression at E2.5, and fewer than five Pax2-positive cells were seen per embryo in the remaining 40% \((n=25)\). In order to follow the longer-term fate of 10- to 18-somite stage opV ectoderm in the nodose region, it was necessary to distinguish placode-derived cells from the mesencephalic neural crest cells that are also present in the grafts (see above). Dissape treatment before explant was not always sufficient to remove all adherent neural crest cells. Hence, either the surface ectoderm of donor embryos was labelled with Dil prior to ectoderm removal (Stark et al., 1997), or a ‘double graft’ was performed (see above).

At E2.5, 80% of grafts of 10- to 14-somite stage double-grafted quail 4- to 6-somite stage opV ectoderm had formed neurons \((n=5)\). This is consistent with an opV fate, as opV neurons differentiate from E2, while nodose neurons are not usually seen in significant numbers until E3.5. All grafts of 9- to 17-somite stage ectoderm that were analysed for Pax3 expression had formed clearly Pax3-positive neurons by E2.5 \((n=7)\), again consistent with an opV fate (Fig. 8A-C). A few Pax3-negative neurons were also seen in three of these grafts, including one graft of 17-somite stage ectoderm.

At E3.5, all grafts of Dil-labelled 13- to 16-somite stage quail opV ectoderm had formed Dil-positive quail neurons in the nodose and/or petrosal ganglia \((n=6;\) data not shown). Pax3 expression was only seen in a few quail cells in 29% of 11- to 16-somite stage grafted embryos at E3.5 \((n=7)\), suggesting that Pax3 was being down-regulated by E3.5. Although Pax3 expression levels are still high in the opV ganglion at E3.5, isotopic grafts of quail opV ectoderm show that some quail cells in the opV ganglion do not express Pax3 at E3.5 \((data not shown)\). This suggests that Pax3 is also being down-regulated in the opV ganglion by E3.5.

**Fig. 8.** Older opV ectoderm forms Pax3-positive neurons in the nodose region at E2.5 that segregate from host nodose neurons at E3.5. (A) Transverse section through the nodose placode region of a chick host collected 23 hours after receiving a graft of 9-somite stage opV placode ectoderm. Pax3-positive cells are seen in the mesenchyme (arrow). The dermomyotome (dm) is also Pax3-positive. (B) Same section as in A, with QCPN-positive quail cells in red, showing that the Pax3-positive cells are quail cells (yellow; arrow). (C) Same section as in A and B, with TuJ1-positive neurons (blue), showing that the Pax3-positive quail cells are neurons (arrow). Host neurons are not seen at this stage. (D) Transverse section through the nodose placode region of a chick host collected 2 days after receiving a graft of 15-somite stage opV placode ectoderm. QCPN-positive quail cells are red (arrowhead), and Hoxb5-positive cells are green. No Hoxb5-positive quail cells are seen. nod, nodose ganglion. (E) Same section as in D, with TuJ1-positive neurons (blue), showing that the quail cells are neurons (arrowhead), segregated from the Hoxb5-positive host neurons. (F) Another section of the same embryo as in D and E, again showing segregation of quail neurons (arrowhead). Few host neurons are present here, but the ectoderm is Hoxb5-positive.
To determine whether the grafted opV ectoderm formed any epibranchial-character neurons in the nodose ganglion, we used *Phox2a* as a marker (see above). A few *Phox2a*-positive quail cells were seen in 57% of 14- to 16-somite stage grafted embryos at E3.5 (*n*=7). However, where present, there were fewer than five such cells per embryo and they were much harder to find than in the 4- to 6-somite stage grafted embryos (Fig. 5D-F) (data not shown). Hence the great majority of neurons derived from older opV ectoderm at E3.5 are *Phox2a*-negative and thus not of epibranchial character. It was interesting to note that these neurons were generally segregated from host nodose neurons at E3.5 (Fig. 8D-F), while quail neurons derived from grafts of younger opV ectoderm were well integrated in the host nodose ganglion (Fig. 6D-F). As was the case for 3- to 6-somite stage opV ectoderm, Hoxb5 expression was not seen at E3.5 after grafts of older (15- to 16-somite stage) opV ectoderm (*n*=3) (Fig. 8D-F).

It is likely that the few *Phox2a*-positive cells that were sometimes present in the nodose ganglion at E3.5 are derived from the few Pax2-expressing cells sometimes seen at E2.5 (see above). A few neurons from a 14-somite stage opV ectoderm graft survived until at least E11.5, after the main period of cell death in the ganglion (data not shown). Again, these neurons were probably derived from the few cells that adopted a nodose fate. The many Pax3-expressing neurons seen at E2.5 (Fig. 8A-C) are presumably unable to survive in the nodose environment, although we did not investigate this further.

**DISCUSSION**

**Stages in placodal commitment**

We have shown that very few, if any, cells in 3- to 4-somite stage opV ectoderm are specified to form neurons, or to express the opV marker Pax3. At the 3- to 4-somite stage, opV ectoderm is competent to express epibranchial placode and neuron markers (*Pax2* and *Phox2a*) when grafted to the nodose region. This competence declines with time as the number of Pax3-expressing cells increases in the ectoderm. Competence is essentially lost by all but a few cells by the 14- to 18-somite stage, when many cells in the ectoderm are already expressing Pax3, and when neuronal markers are first seen in the ectoderm in vivo. Vogel and Davies (1993) similarly found that presumptive chick otic and nodose placodes at the 4- to 7-somite stage, which are not yet specified to form neurons, could be interchanged. In both cases, the grafted ectoderm formed neurons appropriate to its new location, in agreement with the results obtained here using young (3- to 4-somite stage) opV ectoderm.

In late neurula stage amphibian embryos, Jacobson (1963c) reversed the anteroposterior polarity of presumptive placodal ectoderm and found that presumptive otic placode ectoderm located rostrally formed both ear and nose. Similarly, presumptive olfactory placode located caudally formed both nose and ear. He concluded that the ectoderm was sufficiently committed to its original fate to express that fate ectopically, but that it was still labile enough to respond to new inducing activity. This seems to correspond to our results with older opV ectoderm. Some cells in the older opV ectoderm were already committed to express Pax3 (the opV placode marker), while other cells were not yet committed and could be induced to express Pax2 (the epibranchial placode marker). Similarly, some cells in the opV ectoderm are still cycling while others are post-mitotic (J. Sechrist and M.B.-F., unpublished data). Hence, the ‘committed but labile’ nature of the ectoderm in Jacobson’s experiments is most easily explained by the existence of two distinct cell populations within the ectoderm. Some cells were already committed to their original fate (e.g. otic), while those that were not yet so committed could be induced to adopt a different fate (e.g. nose).

The induction of different fates in the same piece of ectoderm can also be explained by the exposure of different regions of ectoderm to different signals. This is unlikely to explain Jacobson’s experiments since this would imply that noses and ears should form both rostrally and caudally in the normal embryo. However, we have seen this result in previous experiments, where we took presumptive opV placode ectoderm before it contained significant numbers of Pax3-positive cells and grafted it to the otic placode region (Baker et al., 1999). The region of grafted ectoderm that lay adjacent to the neural tube expressed Pax3 in response to the neural tube-derived signal (Baker et al., 1999; Stark et al., 1997). However, more lateral ectoderm did not express Pax3 and instead contributed to the otic placode, presumably because it had greater exposure to otic placode-inducing signals (Baker et al., 1999).

**Neurogenesis and neuronal subtype identity are linked**

Stone (1924) found that the opV placode in early tailbud stage *Ambystoma* embryos (stage 26, i.e. 10- to 12-somite stage; Armstrong and Malacinski, 1989) was already committed to a neuronal fate, as it formed a ganglion when transplanted to the flank. It was able to substitute for the gasserian (maxillo-mandibular trigeminal; mmV) placode, forming a ganglion that seemed to replace entirely the gasserian in form and function (Stone, 1924). However, when it was substituted for various lateral line placodes, it formed a small ganglion whose nerve fibres often followed lateral line and other cranial nerves, but never actually innervated lateral line organs (Stone, 1928). Similarly, pre- and postotic lateral line placodes, taken after commitment to neurogenesis, were able to substitute for each other but not for the opV placode (Stone, 1929).

Stone’s results show that after commitment to neurogenesis, placodes of similar function (opV and mmV; pre- and postotic lateral line placodes) could substitute for one another, but not for placodes that perform different functions. Our results, and those of Vogel and Davies (1993), show that before
commitment to neurogenesis, presumptive placodal ectoderm
cells are competent to contribute appropriately to placodes of
different function. Taken together, these data suggest that
neuronal differentiation in the neurogenic placodes is tightly
linked to neuronal subtype.

There is increasing evidence that in both vertebrates and
invertebrates, homologous basic helix-loop-helix (bHLH)
proneural transcription factors specify both neurogenesis and
context-dependent neuronal subtype identity (reviewed by
Anderson and Jan, 1997; Brunet and Ghysen, 1999). Proneural
gene specification of neuronal subtype identity commonly
occurs via the activation of paired homeodomain transcription
factors that directly regulate neurotransmitter enzyme gene
expression (Dambly-Chaudière et al., 1992; Miller et al., 1992;
Vervoort et al., 1995; Zhao and Emmons, 1995; reviewed by
Anderson and Jan, 1997). In Xenopus, the bHLH genes Xath3
and X-ngn1 have generic neuronal-inducing activity and also
induce the context-dependent development of sensory-specific
neuronal subtypes (Perron et al., 1999). In chick, the bHLH
neurogenin genes instructively induce both panneuronal and
sensory-specific neuronal markers in the neural crest, as well
as ectopically in the dermomyotome (Perez et al., 1999).

BMP2-mediated induction of the bHLH gene Mash1 in neural
crest cells leads both to generic neuronal differentiation
and acquisition of sympathetic neurotransmitter identity, via
experimentally separable subprograms that are linked by the
Phox2a gene (Groves et al., 1995; Sommer et al., 1995; Shah
et al., 1996; Lo et al., 1998, 1999). In the epibranchial
placodes, the bHLH gene neurogenin 2 (ngn2) is essential for
neuronal differentiation, but not for Phox2a expression, in the
geniculate and petrosal placodes (Fode et al., 1998). Phox2a
expression is required for transient dopamine β-hydroxylase
expression (Morin et al., 1997). Interestingly, the epibranchial
placode cells that express Phox2a also express the highest
levels of ngn2 transcripts, suggesting that the same signal
might be involved in the induction of both genes, coupling the
generic and neuronal identity subprograms (Lo et al., 1999).

Indeed, in explant experiments in the chick, BMP7 appears to
be able to induce Phox2a-positive neurons directly from non-
epibranchial placode head ectoderm (Begbie et al., 1999),
while the BMP7 inhibitor follistatin reduces the induction of
Phox2a-positive neurons in head ectoderm by pharyngeal
endoderm (Begbie et al., 1999).

**Function of Pax3 in the opV placode**
The *splotch* mouse, which has a mutation in the Pax3 gene, has
a reduction in the opV nerve (Tremblay et al., 1995). Cranial
neural crest migration and contribution to the trigeminal
ganglion appear to be normal in these mice, however
(Serbedzija and McMahon, 1997). Since Pax3 is also expressed
in the mouse trigeminal placode region (Stark et al., 1997), the
opV defect in the mutant mice is presumably due to the loss of
placodal Pax3 expression. This suggests that Pax3 function
is indeed necessary for the formation of the placodal component
of the opV ganglion. As our results suggest a correlation between
Pax3 expression and neurogenesis in the opV placode, it is tempting to speculate that Pax3 may also
specify neuronal phenotype, like Phox2a in the epibranchial
placodes. In neural crest cells, Pax3 is an important regulator of
sensory neurogenesis (Koblar et al., 1999). Sensory
neurogenesis is reduced in neural crest cultures from *splotch*
mice, and antisense oligonucleotide treatment of dorsal root
ganglion cultures against Pax3 also decreases sensory
neurogenesis (Koblar et al., 1999).

**Early regionalisation of competence in head
ectoderm**
Our results further show that different regions of head
ectoderm at the 3- to 4-somite stage differ in their competence
to respond to different inducing signals. Presumptive opV
placode ectoderm can respond to Pax2- and Phox2a-inducing
signals, but not to Hoxb5-inducing signals. We previously
showed that 3-somite stage nodose placode ectoderm is not
compotent to respond to Pax3-inducing signals in the opV
region, while otic placode ectoderm loses this competence after
the 3-somite stage (Baker et al., 1999). Recent data suggest that
pre-placodal ectoderm, encompassing the whole placode-
forming region, is already demarcated by cSix4 expression
in neurula-stage (Hamburger-Hamilton stage 6) chick embryos
(Esteve and Bovolenta, 1999; Hamburger and Hamilton, 1951).

Indeed, it is likely that induction of different placodes is already
underway by the 3-somite stage, changing the competence of
different regions to respond to different signals.

**Conclusion**
Our results suggest that Pax3 expression within presumptive
opV placode ectoderm correlates at a single cell level both with
neuronal specification and with commitment to an opV fate.
Pax3-negative cells in presumptive opV ectoderm, in contrast,
can be induced to express the epibranchial placode marker
Pax2 and to form Phox2a-positive neurons in the nodose
ganglion. Neurogenesis in the neurogenic placodes does not
seem, therefore, to be a two-step process in which neurons are
induced and subsequently specified to a particular fate. Instead,
neuronal differentiation and neuronal subtype identity seem to
be coupled in a one-step induction process. This is similar to
observations in other systems undergoing neurogenesis, such as
the sensory bristles of *Drosophila* and the autonomic
nervous system of vertebrates.

Thanks to Domingos Henrique and Jean-François Brunet for the
Pax2 and Phox2a in situ probes respectively. We are very grateful to
Andy Groves and Helen McBride for discussion and helpful
comments on the manuscript. This work was supported by NS-36585
and NS-13815 (M. B.-F.) and by American Heart Association
Fellowship 1171-F11 (C. V. H. B.).

**REFERENCES**
Anderson, D. J. and Jan, Y. N. (1997). The determination of the neuronal phenotype.
In Molecular and Cellular Approaches to Neural Development (ed. W. M. Cowan,
Competence, specification and induction of Pax3 in the trigeminal placode.
Development 126, 147-156.
the epibranchial placodes. Development 126, 895-902.
19, 755-765.

Davies, A. M. (1996). Developmental changes in the neurotrophic factor survival requirements of peripheral nerve system neurons. Prog. Brain Res. 117, 47-56.


