Neural induction in *Xenopus* requires early FGF signalling in addition to BMP inhibition

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Summary

Neural induction constitutes the first step in the generation of the vertebrate nervous system from embryonic ectoderm. Work with *Xenopus* ectodermal explants has suggested that epidermis is induced by BMP signals, whereas neural fates arise by default following BMP inhibition. In amniotes and ascidians, however, BMP inhibition does not appear to be sufficient for neural fate acquisition, which is initiated by FGF signalling. We decided to re-evaluate in the context of the whole embryo the roles of the BMP and FGF pathways during neural induction in *Xenopus*. We find that ectopic BMP activity converts the neural plate into epidermis, confirming that this pathway must be inhibited during neural induction in vivo. Conversely, inhibition of BMP, or of its intracellular effector SMAD1 in the non-neural ectoderm leads to epidermis suppression. In no instances, however, is BMP/SMAD1 inhibition sufficient to elicit neural induction in ventral ectoderm. By contrast, we find that neural specification occurs when weak eFGF or low ras signalling are combined with BMP inhibition. Using all available antimorphic FGF receptors (FGFR), as well as the pharmacological FGFR inhibitor SU5402, we demonstrate that pre-gastrula FGF signalling is required in the ectoderm for the emergence of neural fates. Finally, we show that although the FGF pathway contributes to BMP inhibition, as in other model systems, it is also essential for neural induction in vivo and in animal caps in a manner that cannot be accounted for by simple BMP inhibition. Taken together, our results reveal that in contrast to predictions from the default model, BMP inhibition is required but not sufficient for neural induction in vivo. This work contributes to the emergence of a model whereby FGF functions as a conserved initiator of neural specification among chordates.

Key words: *Xenopus*, Vertebrates, Chordates, Neural induction, FGF, BMP, SMAD6, eFGF, Spemann’s organiser, Mesoderm, Ectoderm, SU5402

Introduction

Neural induction constitutes the first step in a complex sequence of events leading to the formation of the vertebrate nervous system. This process is believed to consist of a decision made by gastrula ectodermal cells between neural and epidermal fates (reviewed by Munoz-Sanjuan and Brivanlou, 2002; Wilson and Edlund, 2001). Early work in amphibians suggested that the decision to become neural is imposed by signals emanating from the dorsal mesoderm, or Spemann’s organiser (reviewed by Harland and Gerhart, 1997). More recently, numerous organiser-specific factors have been discovered, which include extracellular antagonists of bone morphogenetic proteins (BMPs) (reviewed by De Robertis et al., 2000).

In the ectoderm, the interplay between BMPs and their antagonists, such as Chordin (Chd), is currently considered as being central to neural induction (reviewed by Munoz-Sanjuan and Brivanlou, 2002). *Xenopus* ectodermal explants, which normally express *Bmp4*, develop as epidermis when cultured in the absence of organiser signals. When cell contacts and communication are disrupted in these explants, neural tissue develops instead. Epidermis formation is, however, restored when dissociated explants are cultured in the presence of BMP4 protein. Conversely, intact ectodermal explants form neural tissue upon overexpression of BMP inhibitors, suggesting that these factors recapitulate neural induction by the organiser. This series of ex vivo experiments served as a basis for a model, known as the default model, which states that neural induction is a direct consequence of BMP inhibition in the ectoderm (Munoz-Sanjuan and Brivanlou, 2002). In this model, neural identity constitutes the ground state within the ectoderm and is revealed in the absence of instructive signals.

A major difficulty with the default model is that it does not appear to account for neural induction in avian embryos. It was shown that organiser graft, but not BMP inhibition, leads to neural development in chick lateral epiblast (Streit et al., 1998). Only the cells located at the border between neural and non-neural territories can take on a neural identity upon BMP inhibition, suggesting that these cells are exposed to additional neuralising cues (Streit and Stern, 1999). Recent reports have indicated that FGF may constitute one of these signals (Streit et al., 2000; Wilson et al., 2000). Similar to organiser grafts,
soluble FGF8 can induce early neural marker gene expression in competent epiblast. The organiser does not, however, elicit neural induction if FGF receptor (FGFR) activity is blocked, in spite of the normal expression of chd in the graft (Strtet et al., 2000). Interestingly, some authors suggested that perhaps FGF signalling in the chick is primarily involved in repressing the expression of Bmp genes (Munoz-Sanjuan and Brivanlou, 2002; Wilson and Edlund, 2001). A similar role has been proposed for early β-catenin activity in the Xenopus ectoderm (Baker et al., 1999). According to this hypothesis, BMP inhibition in the chick, like in Xenopus, remains central to neural fate acquisition, and is achieved both via transcriptional repression and the activity of secreted antagonists. However, recent experiments on chick epiblast explants have suggested that FGF signalling also functions in a BMP-independent manner during neural induction (Wilson et al., 2001). Recent work in ascidians, which represent basal chordates, suggested that BMP inhibition is not involved in neural specification (Darras and Nishida, 2001), but that, here also, FGF plays an essential role as a direct inducer of early neural genes (Bertrand et al., 2003).

By contrast, FGFR signalling in Xenopus is currently considered to be essential for posterior neural development but not for neural induction (reviewed by Munoz-Sanjuan and Brivanlou, 2001; Munoz-Sanjuan and Brivanlou, 2002). This conclusion is primarily based on overexpression of a dominant-negative form of the FGFR1 receptor, which does not apparently suppress the formation of anterior neural features (Amaya et al., 1991; Holowacz and Sokol, 1999; Kroll and Amaya, 1996; Ribisi et al., 2000). However, several reports using antimorphic FGFR1 or FGFR4 receptors have suggested instead that FGF signalling plays a role during neural tissue development, leaving this controversial issue still unresolved (Hongo et al., 1999; Launay et al., 1996; Pera et al., 2003; Sasai et al., 1996).

We therefore made use of a broad panel of molecular and pharmacological tools to readdress the roles of the BMP and FGF signalling pathways during neural induction in Xenopus embryos. The in vivo results presented here are consistent with a model whereby pre-gastrula FGF signalling functions in addition to BMP inhibition to induce the nervous system, thus providing an evolutionary conserved alternative to the default model.

Materials and methods

Embryo manipulations and injections

Embryos were fertilised in vitro, de-jellied, cultured and injected with synthetic capped RNAs (Ambion Megascript kit), as described (Carnac et al., 1996). Amounts of injected lacZ RNA ranged from 250 to 500 pg. For all ventral ectoderm injection performed at the 16-cell stage, only embryos showing injected cells distant from the endogenous neural plate were considered for statistical analysis. We used amounts of RNAs encoding antimorphic FGF receptors similar to previous workers. The main difference with other studies lies in the fact that we pooled twice (anually and marginally) a single blastomere at the four-cell stage, in order to obtain a more global RNA distribution in the progeny of the injected cell. Initial references for our expression constructs are: lacZ (Lemaire et al., 1995), XFD (Amaya et al., 1991), ΔFGFR4 (Hongo et al., 1999), wild-type FGFR4, IFR (Umbhauer et al., 2000), CBR (Hsu et al., 1998), tBR (Suzuki et al., 1994) and v-ras (Carballada et al., 2001). eFGF was cloned into pCS2+ (a gift from H. Isaacs). It was linearised with NotI and transcribed with Sp6 RNA polymerase. XSnad6 was cloned into PBS-RN3 (Lemaire et al., 1995) (a gift from H. Yasuo). It was linearized with SfiI and transcribed with T3 RNA polymerase.

SU5402, and Noggin protein treatments

SU5402 (Calbiochem) was dissolved in DMSO (120 mM), and diluted in 0.1×MBS for whole-embryo treatments, and in a low Ca2+, low Mg2+ Ringer’s solution for animal explants treated with Noggin protein (LCMR, 43 mM NaCl, 0.85 mM KCl, 0.37 mM CaCl2, 5 mM HEPES, pH 7.2, 50 μg/ml gentamycin). We did not observe any effect of SU5402 below 5 μM. The severity of the phenotypes exhibited by SU5402-treated embryos increased in a dose-dependent manner. We arbitrarily defined five phenotypic classes at tadpole stage (Fig. 3A): class I embryos showed a slightly truncated tail; class II embryos lacked a tail and showed a slightly shorter trunk; class III embryos lacked all trunk and tail tissues; class IV embryos additionally showed a reduced head; class V embryos lacked all axial tissues, including head structures, but still formed a cement gland. As we noticed a variability between experiments in the amount of inhibitor necessary to yield class V embryos, we used in each experiment several concentrations ranging between 80 and 160 μM, and report data regarding the fifth class irrespective of the concentration. There was no obvious defects in cell cleavage, or in cell viability within the range of concentration used here. Gastrula stage SU5402-treated embryos were classified according to the phenotype of sibling embryos treated identically and grown up to tailbud or tadpole stage. Mouse recombinant Noggin/Fc chimeric protein (R&D systems) was resuspended in PBS, 0.1% BSA (50 μg/ml). Animal explants were treated with 2 μg/ml Noggin/Fc in LCMR supplemented with 0.5% BSA (47% of the fusion protein consists of the Noggin peptide), and stage 8 embryos were injected with 2 ng Noggin/Fc in the blastocoel.

In situ hybridisation, and immunostaining

Injected embryos were fixed for 30 minutes in MEMFA (100 mM MOPS, pH 7.4, 2 mM EGTA, 1 mM MgSO4, 3.7% formaldehyde), and analysed for lineage tracer distribution via β-galactosidase staining with X-gal or Salmon-gal substrate (Biosynth AG). Stained embryos were fixed in MEMFA for an additional hour, and processed for whole-mount in situ hybridisation with digoxygenin labelled probes (Roche) as described (Moos et al., 1995). Antisense riboprobes for nodgin (Smith and Harland, 1992), chordin (Sasai et al., 1994), goosecoid (gsc) (Cho et al., 1991), cerberus (Bouwmeester et al., 1996), Sox2 (Mizusaki et al., 1998), opl (Kuo et al., 1998), dkk-1 (Glinka et al., 1998), hex (Newman et al., 1997), otx2 (Pannese et al., 1995), Xcad3 (Ponnall et al., 1996), Xbra (Smith et al., 1991), Xnr2 (Jones et al., 1995), ADMP (Moos et al., 1995), Sox17α (Hudson et al., 1997), K81 (Jonas et al., 1989), Slug (Mayor et al., 1995), Bmp4 (Hemmatti-Brivanlou and Thomsen, 1995) were prepared as described in the respective references. After staining with BM Purple (Roche) and fixation in MEMFA, pigmented embryos were bleached (Mayor et al., 1995). Immunostaining with 12.101 (muscle) and 4d (anti-NCAM) antibodies was carried out as described (Carnac et al., 1996).

Results

BMP inhibition is required but not sufficient for neural induction in vivo

According to the default model, ectoderm cells form epidermis in response to BMP signals, and neural tissue in absence of BMP signalling (Munoz-Sanjuan and Brivanlou, 2002). We thus initiated our study of the molecular mechanisms of neural induction by addressing these predictions in whole Xenopus embryos.

We first analysed the fate of presumptive neural cells
subjected to ectopic BMP activation, via RNA microinjection of a constitutively active BMP receptor (CABR) in a single dorsal animal blastomere at the eight- or 16-cell stage. At the late gastrula stage, injected cells did not express the early neural markers Sox3 (9/10 negative) and Sox2 (45/47 negative), and instead expressed the epidermal marker cytokeratin 81 (K81) (35/35 positive; Fig. 1A, and not shown). Thus, consistent with ex vivo assays (Munoz-Sanjuan and Brivanlou, 2002), BMP activation in vivo is incompatible with neural induction, and elicits epidermis differentiation.

Fig. 1. BMP inhibition in vivo is not sufficient for neural induction. In all panels, Sox2 expression marks prospective neural tissue, K81 expression marks prospective epidermis, Ξbra expression marks mesoderm, at the late gastrula stage 13, and Slug marks neural crest progenitors at early neurula stage 15. Marker gene expression is revealed in purple, while β-galactosidase activity resulting from injection of lacZ RNA as a lineage tracer is in red. (A) Sixteen-cell embryos injected with 100 pg CABR RNA in one dorsal-most animal blastomere. BMPR activation represses the neural marker Sox2 and activates the epidermal marker K81. (B) Eight-cell embryos injected animaly in the two left blastomeres with lacZ (250 pg/blastomere) and tBR (400 pg/blastomere) RNAs showing neural plate expansion and epidermis suppression (u, uninjected side). (C-G) Sixteen-cell embryos injected in one ventral-most animal blastomere with lacZ (C), lacZ and tBR (400 pg, D), or lacZ and Smad6 (1 ng in E,G; 4 ng in F) RNAs. In whole embryos, BMP inhibition by Smad6 represses epidermis, but does not induce neural tissue. Ectopic neural crest could form in embryos that received 1 ng (arrow), but not 4 ng, Smad6 RNA. (G) Animal caps explanted at blastula stage 9 express Sox2 in response to Smad6 injection in ventral ectoderm cells. The inset in the lower panel illustrates that Sox2 expression (purple) is restricted to the injected region (red). (A,B) Dorsal views, anterior towards the top. (C-F) Ventral views, anterior towards the top, except right panels in E,F, which are lateral views, anterior towards the top. Scale bars: 500 μm.

Next, we wanted to address whether BMP inhibition in the presumptive non-neural ectoderm was sufficient for neural induction in vivo. As expected from previous works (Mizuseki et al., 1998; Munoz-Sanjuan and Brivanlou, 2002), BMP inhibition obtained by RNA injection of a dominant-negative BMP type I receptor (tBR) led to Sox2 activation (21/22 positive) and K81 repression (16/16 negative) in animal caps (not shown). When tBR RNA was injected in the two left animal blastomeres (400 pg/blastomere) of the eight-cell embryo, we observed at the late gastrula stage a limited expansion of the neural plate (9/10 embryos showing expanded Sox2 expression) at the expense of epidermis (10/10 embryos showing shrunk K81 expression; Fig. 1B). We then restricted tBR misexpression to ectoderm distant from the endogenous neural plate, via injection at the 16-cell stage in a single ventral-most ectoderm cell (400 pg). This led to K81 repression (48/53 negative), but not to Sox3 (7/7 negative) or Sox2 (45/46 negative) activation, suggesting that in these conditions BMP-deficient cells did not adopt a neural identity (Fig. 1D, and not shown). No transient Sox2 activation was observed at the early gastrula stage either (not shown). Ventral animal injection of the maximal non toxic dose of tBR RNA (2 ng) still did not lead to Sox2 activation (not shown). These data suggest that in vivo inhibition of the BMP pathway at the level of the receptor, although leading to epidermis suppression, is not sufficient to trigger neural induction in ectoderm distant from the endogenous neural plate. It has been proposed that additional inputs acting via the ERK pathway can antagonise the action of BMP at the level of SMAD1 (Pera et al., 2003). We thus reasoned that inhibition of SMAD1 by its specific intracellular inhibitor SMAD6 (Hata et al., 1998) may have a stronger effect than tBR misexpression. However, injection in a single ventral ectoderm cell of high amounts (1 or 4 ng) of Smad6 RNA also led to epidermis repression (61/61 K81 negative with 1 ng; 26/26 K81 negative with 4 ng) without neural induction (96/96 Sox2 negative with 1 ng; 40/40 Sox2 negative with 4 ng; Fig. 1E,F) in whole embryos. One caveat in these experiments lies in the fact that the degree of inhibition of the BMP pathway could be sufficient to suppress epidermis, but not to induce neural fates. We thus looked for additional indications that our conditions were indeed very effective at blocking BMP activities. The default model predicts that formation of tissues situated at the frontier between neural and epidermal territories requires intermediate levels of BMP inhibition (Marchant et al., 1998). Thus, it is expected that not only epidermis but fates emerging at the neural/non-neural border, including cement gland and neural crests, should not form under conditions of severe BMP inhibition. We found that ventral ectoderm cells injected with 1 ng Smad6 RNA did not adopt a cement gland identity (not shown), but often expressed the neural crest marker Slug (11/17 positive), suggesting that in this condition BMP inhibition was partial (Fig. 1E). By contrast, Slug expression was not detected in ventral ectoderm cells injected with 4 ng Smad6 RNA (17/17 negative; Fig. 1F). This suggests that BMP inhibition in this last assay was effective enough to prevent both epidermis and neural border fates from forming. Yet, no neural induction occurred as revealed by the lack of Sox2 activation in cells injected with 4 ng Smad6 RNA (Fig. 1F). It will be interesting, in future, to investigate the nature of those BMP-deficient cells that are no longer epidermal, but are neither cement gland, neural crest or neural cells.
Another possibility to explain the lack of neural induction in vivo in our assays could be that ventral ectoderm cells are not able at all to respond to BMP inhibitors. We turned back to the animal cap assay to address this issue. We injected 1 ng Smad6 RNA in a single ventral ectoderm cell at the 16-cell stage, explanted animal caps at late blastula stage, and analysed Sox2 expression in caps and in intact injected siblings. We found that neuralisation occurred in response to Smad6 expression in injected cells in explanted animal caps (15/24 Sox2 positive; Fig. 1G), but not in intact embryos (11/11 Sox2 negative; Fig. 1E). These results indicate that our conditions of BMP inhibition are indeed sufficient to neuralise ventral ectoderm cells, which further supports the idea that the lack of neural induction by BMP inhibition in vivo is not merely quantitative. This also demonstrates that extirpation of the blastula ectoderm modifies the response to BMP inhibitors, which suggests that animal cap assays do not unambiguously reflect neural induction in the embryo. In summary, BMP/SMAD1 inhibition in vivo is sufficient to neuralise ectoderm cells in the vicinity but not away from the endogenous neural plate, suggesting that, as in the chick, additional inputs are required for neural induction.

Neural induction in vivo by conjugated FGF signalling and BMP inhibition

Because of works in amniotes (Streit et al., 2000; Wilson et al., 2000; Ying et al., 2003) and ascidians (Bertrand et al., 2003), we asked whether FGF signalling could play such a role by testing eFGF, a frog homolog of FGF-4, in our ventral ectoderm assay. Consistent with previous work (Isaacs et al., 1994), injection of 3.2 pg eFGF RNA induced mesoderm as revealed by Xbra expression (23/23 positive), within and around injected cells (not shown). By contrast, injection of 0.16 pg eFGF RNA did not activate Xbra expression (9/9 negative), nor did it significantly suppress K81 expression (4/10 no repression; 6/10 light reduction, example in Fig. 2A, centre), or activate Slug (12/12 negative, not shown) or Sox2 expression (11/12 negative; Fig. 2A right). This suggests that at this dose eFGF does not efficiently antagonise the BMP pathway, which we showed in the previous section is a necessary condition for neural induction. We thus co-injected 0.16 pg eFGF and 1 ng Smad6 RNAs, and found that this was now sufficient to repress K81 (12/12 negative) and activate Sox2 (21/21 positive), in absence of Xbra expression (20/20 negative) at the late gastrula stage (Fig. 2B). Consistent with the activation of a stable neural programme, the injected territory was subsequently found to express the differentiation marker NCAM at tailbud stage (10/10 positive; Fig. 2C). Co-injection of 0.16 pg eFGF and 200 pg IBr RNAs gave similar results (11/11 K81 negative; 9/13 Sox2 positive; 12/12 Xbra negative; Fig. 2D). FGF signals can activate multiple transduction pathways, including the ras/MAPK pathway (Powers et al., 2000). As this pathway is active in the early frog embryo (Schohl and Fagotto, 2002), we asked whether activated ras was able to induce ectopic neural tissue in BMP-deficient epidermis. We found that co-injection of 1 pg v-ras and 1 ng Smad6 RNAs led to Sox2 activation (12/12 positive), without Xbra expression (11/11 negative; Fig. 2E and not shown). We conclude that in vivo and in the absence of detectable mesoderm induction, weak FGF or ras signalling combined with BMP inhibition is sufficient to induce neural tissue in ventral ectoderm.

FGF signalling is required for neural induction

The results described above suggest that FGF signalling could participate to neural induction in vivo. We thus decided to evaluate neural development in embryos deficient for FGF function. To do this, we first blocked signalling at the level of the receptor via the use of the pharmacological FGFR inhibitor SU5402 (Mohammadi et al., 1997). This approach offers the possibility of efficiently and reversibly blocking FGF receptors in all cells of the embryo, at any given time, and has been shown to be effective in ascidians (Kim and Nishida, 2001), zebrash (Shinya et al., 2001) and amniotes (Streit et al., 2000; Ying et al., 2003). We found that embryos cultured from the four-cell stage in the presence of SU5402 lost axial tissues from posterior to anterior, in a dose-dependent manner (Fig. 3A; see Materials and methods). In the most severely affected embryos (class V), mesoderm, neural tissue and neural crests were totally absent all along the body axis (Fig. 3B-H,J-M). Only epidermis, cement gland and endoderm formed normally in these embryos (Fig. 3I,O). Importantly, class V embryos completely lacked expression of the specific neural markers Sox2 and optl, both at early (18/18 Sox2 negative, and 5/5 optl negative at st10.5) and late gastrula stages (14/14 Sox2 negative, and 7/7 optl negative at stage 13), suggesting that the neural programme was not initiated in these embryos (Fig. 3J-M). We then attempted to determine the fate, in class V embryos, of the cells normally destined to be part of the neural tissue. To achieve this, we injected RNA encoding the lineage tracer lacZ at the 32-cell in presumptive anterior neural plate.

Fig. 2. Neural induction in vivo by combined FGF signalling and BMP inhibition. (A-E) Sixteen-cell embryos were injected in one ventral-most animal blastomere with lacZ and 0.16 pg eFGF (A); lacZ, 0.16 pg eFGF and 1 ng Smad6 (B,C); lacZ, 0.16 pg eFGF and 200 pg IBr (D); or lacZ, 1 ng Smad6 and 1 pg v-ras RNAs (E). Low eFGF or activated ras signalling induces neural tissue, without Xbra-positive mesoderm, when combined with BMP inhibition in late gastrula stage 13 embryos. NCAM immunostaining at tailbud stage confirms the stable neural character of the induced tissue (arrow in C). (A,B,E) Ventral view, anterior towards the top; (C) lateral view, anterior towards the right; (D) lateral view, anterior towards the top. Scale bars: 500 µm.
Neural induction in Xenopus cells and analysed the position of injected cells in tadpoles. Injected cells were found mostly in the eyes and the brain of control embryos. By contrast, in class V embryos these cells were found in the epidermis below the cement gland, and expressed K81 (Fig. 3N,O). Thus, these cells appear to remain alive and to adopt a novel fate, ruling out the possibility that the lack of neural tissue produced by SU5402 is due to non-specific toxicity. Our data therefore support the idea that neural tissue is converted into epidermis in the absence of FGF activity.

As ras functions downstream of FGF receptors, we asked whether it could bypass the action of SU5402, and directly rescue neural plate tissue. We found that animal injection of 5 to 20 pg v-ras RNA could restore Sox2 expression in late gastrula class V embryos (14/14 Sox2 negative in class V; 12/23 Sox2 positive upon v-ras injection; Fig. 4B,C). Consistently, v-ras also rescued NCAM expression in most SU5402-treated embryos at tailbud stage (not shown).

To further confirm that SU5402 specifically interferes with FGFR function, we analysed neural development in embryos misexpressing various antimorphic FGF receptors. Unilateral injection of 1 ng ΔR4 RNA, which encodes a dominant-negative membrane-bound form of FGFR4 (Hongo et al., 1999), in one dorsal blastomere at the four-cell stage led to a loss of Sox2 expression at the late gastrula stage (12/12 negative), and NCAM at tailbud stage (26/34 negative), without interfering with cement gland formation (Fig. 4D,G).

Similarly, injection of XFD RNA (1-4 ng), a dominant-negative Xenopus FGFR1 (Amaya et al., 1991), led to a loss of head features and of NCAM positive neural tissue (28/36 negative; not shown). Finally, misexpression of the secreted ectodomains of Xenopus FGFR4 (a gift from H. Okamoto), or chick FGFR1 (Marics et al., 2002), which exhibit antimorphic effects by trapping FGF ligands outside the cells, also provoked the loss of the entire neural axis (not shown). To establish further the specificity of the phenotypes generated by these antimorphic receptors, we attempted to rescue neural development in ΔR4- and XFD-injected embryos. It is thought that truncated FGF receptors exert their negative effect via the formation of inactive heterodimeric complexes with normal receptors. Thus, increasing the amount of functional FGF receptors by RNA injection of wild-type counterparts must be sufficient to recover signalling. As shown in Fig. 4E,H, the presence of 1 ng FGFR4 RNA rescued Sox2 (10/11 positive)
with ΔR4 (J,K) Eight-cell embryos were injected in both animal blastomeres tR4 can rescue the loss of neural tissue due to ΔR4 misexpression. (J,K) Eight-cell embryos were injected in both animal blastomeres with lacZ and ΔR4 (200 pg/blastomere) RNAs in order to target presumptive neural tissue. ΔR4-injected cells do not express Sox2 at early gastrula stage 10.5. u, uninjected side. Broken lines in G-I indicate the midline. (A-I) Dorsal view, anterior towards the left. (J,K) Dorsal view, anterior towards the top.

and NCAM (21/26 positive) expression in most embryos injected with 1 ng ΔR4 RNA. Rescue was effective in FGFR1/XFD co-injection experiments as well (not shown). It is probable that dominant-negative FGF receptors block most or all types of normal receptors through heterodimerisation of extracellular domains, which makes it difficult to ascribe a particular type to neural induction. In a first attempt to gain insight into this issue, we tried to rescue neural tissue in ΔR4-injected embryos by co-expressing a ligand-independent constitutively active form of FGFR4 (tR4) (Umbhauer et al., 2000). As tR4 lacks the extracellular domain normally found in FGFR4, it is unlikely to form heterodimers with wild-type or mutated receptors, and cannot correct the balance in this assay between normal and antimorphic FGFR4. Yet, both Sox2 (6/10 positive) and NCAM (8/12 positive) expression were recovered in embryos co-injected with 1 ng ΔR4 and 4 ng tR4 RNAs (Fig. 4F,I). Thus, the indication is that downstream activation of the FGFR4 pathway is sufficient to correct the blockage of FGF signalling brought about by antimorphic receptors. In conclusion, all loss-of-function experiments performed here support the idea that FGFR activity is specifically required for the emergence of neural progenitors in Xenopus. We next analysed when and where FGFR signalling was necessary for neural induction.

Neural induction by FGF signalling is initiated prior to gastrulation

To assay the temporal requirements for FGFR activity upon neural development, we made use of the reversibility of the SU5402 action following washing, and varied the time of initiation and duration of treatment (Fig. 5). First, we found that treatment initiated at the two-cell stage and interrupted at the mid-blastula transition (MBT) yielded normal embryos. This confirms that SU5402 can be washed away, and indicates that FGFR activity is dispensable prior to MBT. Treatments initiated at the MBT induced phenotypes progressively more severe as their duration increased. Treatment starting at MBT and lasting 5.5 hours yielded class V embryos, whereas embryos developed anterior neural tissue (class IV) when the same 5.5 hours treatment started 2 or 3 hours past MBT. This early requirement for FGFR activity is consistent with the loss of Sox2 and opl expression in class V early gastrulae (Fig. 3J,L). Thus, as in the chick (Streit et al., 2000; Wilson et al., 2000), Xenopus neural induction in vivo requires pre-gastrula FGFR activity.

Neural induction requires intact FGFR signalling in the ectoderm

We showed that in the epidermis, weak FGF signalling combined with BMP inhibition is able to activate neural

![Fig. 4.](image_url) Both pharmacological and antimorphic FGF inhibitors block neural development in a specific manner. (B,C) Four-cell embryos were injected with lacZ RNA (B), or lacZ and 5 pg v-ras (C) RNAs, and embryos were treated with 120 μM SU5402. Neural plate tissue is rescued by v-ras injection in FGFR-deficient embryos. (D-I) Four-cell embryos were injected with lacZ and 1 ng ΔR4 (dominant-negative FGFR4) (D,G), lacZ, 1 ng ΔR4 and 1 ng FGFR4 RNA (E,H), or lacZ, 1 ng ΔR4 and 4 ng tR4 (activated FGFR4) RNAs (F,I). ΔR4 is not toxic to the cells as they retain β-galactosidase activity but no longer express Sox2. (G-I) β-Galactosidase reaction was omitted to optimise NCAM immunostaining at tailbud stage. Both FGFR4 and tR4 can rescue the loss of neural tissue due to ΔR4 misexpression. (J,K) Eight-cell embryos were injected in both animal blastomeres with lacZ and ΔR4 (200 pg/blastomere) RNAs in order to target presumptive neural tissue. ΔR4-injected cells do not express Sox2 at early gastrula stage 10.5. u, uninjected side. Broken lines in G-I indicate the midline. (A-I) Dorsal view, anterior towards the left. (J,K) Dorsal view, anterior towards the top.

![Fig. 5.](image_url) Time-window of requirement for FGFR activity in neural induction. Developmental stages are shown from fertilization (F) to stage 35, in register with time (in hours) elapsed at 23°C. Embryos were cultured in the presence of 80 μM SU5402 during the periods indicated with bars, and phenotypes were analysed at stage 35. Results are represented as the number of embryos of each class out of the total number of treated embryos. Starting 5.5 hours treatment at MBT, but not later, completely suppressed neural development.
markers in the absence of mesoderm (Fig. 2), which is consistent with a direct requirement of FGF activity in the presumptive neural plate. We addressed this issue in whole embryos, and via in vitro neural induction assays.

First, we analysed the effect of restricting ΔR4 expression to ectoderm cells by injection at the eight-cell stage in the two dorso-animal blastomeres (200 pg/blastomere). We found that at the early gastrula stage injected ectoderm cells did not express Sox2 (24/24 negative), thus supporting a direct requirement for FGF signalling at the time of neural induction in presumptive neural cells (Fig. 4J,K). We note that this is consistent with previous studies showing that animal expression in presumptive neural cells is consistent with a direct requirement for FGF signalling at the time of neural induction (Hongo et al., 1999; Pera et al., 2003).

In a second series of experiments, we addressed whether ectoderm submitted to FGF inhibition could respond to the natural neural inducers produced by the organiser. Thus, the mesoderm was recombined with ectoderm from embryos treated or not with 80 µM SU5402 until the time of explantation, and washed before recombination (Fig. 6A). When compared with controls, pre-treatment with SU5402 caused a 50% reduction in the number of NCAM-positive conjugates made at the early gastrula stage (Fig. 6C). This reduction rose to 80% when recombination was performed at the mid-gastrula stage (Fig. 6C). Moreover, not only the number of NCAM-positive conjugates dropped dramatically upon pre-treatment with SU5402, but the amount of NCAM-positive cells within these conjugates, and the intensity of the staining in those cells were severely reduced (asterisks in Fig. 6B). We further noticed that in most cases, NCAM-negative conjugates still contained a cement gland (Fig. 6B), similar to what is observed in class V whole embryos (Fig. 3A).

We conclude that FGF activity is required to confer to the ectoderm its capacity to become neural in response to organiser signals.
antagonism for Noggin, blockage of BMP signalling at the cell surface for tBR, and intracellular inhibition of SMAD1 action for SMAD6 – we reasoned that their concerted action should yield more complete BMP inhibition. Thus, all three RNAs, together with lacZ, were co-injected dorsally at the four-cell stage (4 ng Smad6 + 400 pg tBR + 250 pg noggin) in SU5402-treated embryos. We found that Sox2 expression was not rescued in early gastrula class V embryos (13/13 negative), and that NCAM staining was not recovered either at tailbud stage (16/16 negative) (Fig. 8C,H). BMP inhibition was, however, visible in these embryos, as the epidermis was converted into cement gland (Fig. 8C). The same lack of rescue of NCAM expression resulted from injection of a high amount of Noggin protein (1 ng) in the blastocoele of class V embryos at late blastula stage (25/25 negative; Fig. 8D). Again, the epidermis was transformed into fully differentiated cement gland, indicating that BMP inhibition occurred in this assay. These experiments suggested that in the absence of FGF signals, the ectoderm cannot be neutralised by strong BMP inhibition.

Further supporting this interpretation, we found that explanted animal caps could not be neutralised by tBR, noggin or Smad6 RNAs injection in the presence of SU5402 (not shown, Fig. 8H, Table 1), or when Noggin protein was added on SU5402 pre-treated ectoderm explants (Table 1). Further, untreated ectoderm explants did not undergo neutralisation upon co-incubation with Noggin protein and SU5402 (Table 1). These data demonstrate that neutralisation in whole embryos, as well as in BMP- or SMAD1-deficient animal caps requires intact FGF signalling, both prior and during BMP inhibition. We conclude that FGF signalling, although involved in the reduction of BMP activity in presumptive neural tissue, exerts some essential effects that are unlikely to result from the sole inhibition of BMP signalling.
Table 1. Neural induction by BMP inhibition requires intact FGFR signalling in animal caps

<table>
<thead>
<tr>
<th>Assay</th>
<th>n</th>
<th>% NCAM&gt;0</th>
</tr>
</thead>
<tbody>
<tr>
<td>Untreated cap + Noggin (stage 10.25)*</td>
<td>38</td>
<td>50</td>
</tr>
<tr>
<td>SU cap + Noggin (stage 10.25)*</td>
<td>36</td>
<td>0</td>
</tr>
<tr>
<td>SU cap + Noggin (stage 9)</td>
<td>22</td>
<td>55</td>
</tr>
<tr>
<td>SU cap + Noggin + SU (stage 9)†</td>
<td>21</td>
<td>0</td>
</tr>
<tr>
<td>Untreated cap + Noggin (stage 10)</td>
<td>40</td>
<td>60</td>
</tr>
<tr>
<td>Untreated cap + Noggin + SU (stage 10)†</td>
<td>38</td>
<td>3</td>
</tr>
<tr>
<td>Smad6 cap†</td>
<td>13</td>
<td>100</td>
</tr>
<tr>
<td>Smad6 cap‡ + SU (stage 13)</td>
<td>16</td>
<td>0</td>
</tr>
</tbody>
</table>

*1 µg/ml Noggin protein was added to animal caps pre-treated or not with 80 µM SU5402
†Untreated animal caps were cultured with 1 µg/ml Noggin protein in the presence or not of 80 µM SU5402.
‡See legend to Fig. 7.

DMZ, dorsal marginal zone; cap, animal cap.

Discussion

In this article, we have investigated, in vivo, the molecular mechanisms responsible for neural induction in Xenopus. We can draw three main conclusions that are of broad importance for the understanding of neural tissue specification during development and evolution, as well as for neural stem cell research. First, neural fates are unlikely to be specified by default as predicted by the prevailing model. Rather, and this is our second point, neural development appears to be initiated by weak pre-gastrula FGF signalling, acting in addition to BMP inhibition and directly in the ectoderm. Last, distinct levels of FGF signalling may help to position the prospective neurectoderm and mesoderm, suggesting that neural induction does not solely consist of a binary fate decision between epidermis and neural tissue. It may also involve a decision between neural and mesodermal identities. We now discuss these ideas in more details.

The default model of neural induction is based on assays where BMP signalling is manipulated in frog ectoderm explanted at blastula stages. In the most recent version of this model, ectoderm cells become epidermis when submitted to strong BMP signals, they adopt a border fate (including neural crest and cement gland) when submitted to weaker BMP signals, and they become neural in absence of BMP signalling (Munoz-Sanjuan and Brivanlou, 2002). Using assays in whole embryos, we first confirmed that BMP signalling promoted epidermis formation, and repressed neural development. Hence, epidermis development appears to be dominant over neural development. However, reducing BMP/SMAD1 signalling in the ventral ectoderm distant from the endogenous neural plate, to a level incompatible with epidermis, cement gland and neural crest development, did not elicit neural specification, strongly arguing against the default model. We demonstrate, however, that under these conditions, BMP inhibition is sufficient to realise ventral ectoderm cells upon explantation (Fig. 1G), making it unlikely that the lack of neural induction in vivo is only due to partial BMP inhibition. These results argue that neural induction in vivo does not consist of a simple epidermis-to-neural, or neural border-to-neural switch, which would be regulated by distinct levels of BMP signalling. Although it is necessary that BMP be downregulated for epidermis and neural border to be suppressed, an additional signal(s) is required to initiate neural induction in the BMP-negative territory.

Several arguments allow us to propose that FGF signalling plays a positive role in this process. First, eFGF could transform BMP-deficient cells into neural progenitors in vivo, without inducing mesoderm. Second, using all available antimorphic FGF receptors and a pharmacological FGFR inhibitor, we found that the earliest steps of neural tissue development critically and specifically depends on FGF activity. These results confirm and extend previous studies. We note that in our assays, AR4 misexpression consistently led to more penetrant neural deficiencies than XFD, when equal amounts of RNAs were injected. This could suggest that FGFR4 is the main receptor involved in neural induction at blastula stages, in agreement with its higher level of expression (Hongo et al., 1999). Support for this idea also comes from the observation that tR4, a form of FGFR4 that is active in the absence of FGF ligands, can rescue neural phenotypes generated by ∆R4. In addition, our data indicate that the transduction pathway at work downstream of FGFR receptors may involve ras, as it is sufficient to induce ectopic neural tissue in BMP-deficient ectoderm, and it can rescue neural plate tissue in SU5402-treated embryos. Our work thus provides initial hints as to the transduction cascade responsible for neural induction in frogs.

Interestingly, we have shown that neural induction in intact embryos requires FGF activity at pre-gastrula stages (Fig. 4J,K, Fig. 5). This early requirement for FGF signalling appears to be conserved between Xenopus (this work), zebrafish (Furhauer et al., 2004), chick (Streit et al., 2000; Wilson et al., 2000) and even ascidians (Bertrand et al., 2003). As this period of development is anterior to the formation of Spemann’s organiser, a possible interpretation of our results would be that neural induction is initiated by FGF signalling prior to gastrulation, and that this is maintained by organiser signals. However, it is equally possible that signals produced by the organiser, and in particular BMP antagonists, also act in the blastula at the time of FGF requirement (Kuroda et al., 2004; Wessel et al., 2001). Thus, further work is needed to compare
the periods of requirement of FGF signalling and BMP inhibition for the normal activation of the neural programme.

We provide here multiple evidence that FGF signalling plays a direct role in the ectoderm during the process of neural induction. First, eFGF can cooperate with BMP inhibitors to neutralise ventral ectoderm cells in vivo, without mesoderm induction. Second, targeted ΔR4 injection in presumptive neural plate cells is sufficient to suppress early neural marker gene expression. Third, ectoderm explants submitted to the FGFR inhibitor SU5402 showed a severely reduced ability to respond to neural-inducing signals produced by the organiser, or to BMP inhibitors. In summary, both gain- and loss-of-function experiments suggest an essential role of FGF signalling directly in the ectoderm, consistent with ERK activation in prospective neural tissue at blastula/gastrula stages (Schohl and Fagotto, 2002; Uzgare et al., 1998).

In chick, the neural-inducing activity of FGF seems to be accounted for by both BMP-dependent and -independent functions. Our results point to a similar situation in *Xenopus*. In support of a BMP-dependent action of FGF, *Bmp4* expression is upregulated in the dorsal ectoderm of FGFR-deficient embryos, which appears to be a conserved feature in vertebrates (Furthauer et al., 2004; Wilson et al., 2000). Moreover, *noggin* expression is lost in class V embryos, which is further expected to upregulate BMP signalling. Finally, as it has been reported that activated ERK could inhibit SMAD1 (Pera et al., 2003), this mechanism could also attenuate BMP signalling in response to FGF. However, FGF does not appear to act solely via inhibition of BMP/SMAD1 signalling. First, the amount of eFGF that was found sufficient for neural specification in vivo, when combined to BMP inhibitors (tBR or SMAD6), does not efficiently repress epidermis formation on its own, nor does it activate a border fate (Fig. 2A). As both SMAD6 and FGFR/ras are expected to block SMAD1 activity, the complementation reported here between these compounds is unlikely to be simply additive, and suggests that FGFR/ras provide an independent information. Second, the loss of neural tissue because of FGFR inhibition in whole embryos cannot be rescued by increased BMP/SMAD1 inhibition (Fig. 8C,D,H). As this issue is crucial to propose a BMP/SMAD1-independent function of FGF, we attempted to block the BMP pathway simultaneously at multiple levels, outside the cell (Noggin), at the cell-surface (tBR) and inside the cell (SMAD6). Even in this case, no neural tissue was recovered in the complete absence of FGF activity. Although it is impossible to rule out that some very weak residual BMP signalling may persist in this assay and explain the lack of neural tissue, this possibility appears unlikely. Thus, we conclude that FGFR may function during neural induction via both BMP-dependent and BMP-independent routes (Fig. 9). The latter response is probably playing a crucial role directly on the future neurectoderm, as we show that FGFR-deficient animal caps cannot be neutralised by organiser tissue or BMP antagonists. Future work will aim to understand the nature of this response. Indeed, final proof for a BMP-independent action of FGF signalling requires the identification of a direct transcriptional target of the pathway in the neurectoderm, the activity of which would be required for neural specification, a situation that has so far been reached only in *Ciona intestinalis* (Bertrand et al., 2003).

A BMP-independent role of FGF in neural induction is incompatible with the default model, but not necessarily with the data that led to its formulation (i.e. neural induction by BMP inhibition in animal caps). First, we found that following the same injection protocol in presumptive epidermal cells, BMP inhibition by SMAD6 could trigger neural induction in explants but not in whole embryos. Second, we show here that ectoderm explants pre-treated with the FGFR inhibitor are no longer able to become neural, supporting the idea that FGF activity is present and required for neuralisation in animal caps. One possibility to account for the difference between in vivo and ex vivo responses to BMP inhibition is that explanted caps are somehow subjected to an increased level of FGF signalling compared with the corresponding territory in intact embryos, as suggested by previous studies (Krain and Nordheim, 1999; LaBonne and Whitman, 1997). Dissociated frog blastula ectoderm constitutes another paradigm for neural induction. In theory, this treatment should prevent both BMP and FGF signalling, but leads nonetheless to neural differentiation. FGF signalling may, however, occur in an autocrine manner in dissociated cells such that it becomes sufficient to promote neural fates, when BMP is sufficiently suppressed by dispersion. Consistent with this idea, expression of dominant-negative FGFR4a significantly reduces neuralisation triggered by dissociation (Hongo et al., 1999). Interestingly, it has recently been reported that neural differentiation of mouse embryonic stem cells, cultured in isolation, requires autocrine FGF signalling (Ying et al., 2003). In this case, as in our study, BMP inhibition by Noggin could not compensate for the loss of FGF activity in dispersed ES cells. Taken together, these data suggest that neural fate acquisition in *Xenopus*, chick and neural stem cells does not occur by default and may involve BMP-independent FGF signalling, which may be autocrine.

An important aspect of this work is that both gain- and loss-of-function experiments indicate the existence of a gradient of FGF activity that patterns the early embryo. The formation of prospective dorsal mesoderm expressing *Xbra* occurs at a higher level of FGF signalling than that of the presumptive neural tissue expressing *Sox2* (Fig. 2). Likewise, posterior neural tissue requires higher levels of FGF signalling than anterior neural tissue (Fig. 3). Thus, incomplete inhibition of the pathway in the embryo may be sufficient to prevent mesoderm and posterior neural tissue formation, without interfering with anterior neural induction, providing a likely explanation for the maintenance in previous studies of head features in FGFR-compromised embryos (Amaya et al., 1991; Holowacz and Sokol, 1999; Kroll and Amaya, 1996; Ribisi et al., 2000). Our results indicate that weak FGF signalling is sufficient, in a BMP negative context, to promote neural identity, which makes complete inactivation particularly difficult to obtain and to ascertain.

We attempted to represent some of the most important genetic relationships relating to *Xenopus* neural induction in a schematic model (Fig. 9). In this model, presumptive mesodermal, neural ectoderm and epidermis are positioned at blastula stages in response to the maternal activities of VegT and β-catenin, relayed by the FGF and Nodal-related pathways. β-catenin and FGF signalling repress *Bmp4* and activates BMP inhibitors (*chordin*, *noggin*), which generates a BMP-free region in the ectoderm, a condition necessary for neural tissue formation (Baker et al., 1999; Wessely et al., 2001). Consistently, β-catenin is broadly activated in dorsal mesoderm and ectoderm, where it activates FGF/ERK signalling (Schohl
and Fagotto, 2002; Schohl and Fagotto, 2003). The epidermis forms where BMP function is maintained in the ectoderm. While high-level FGF signalling combined with Nodal-related activity specify mesoderm in marginal cells, weak FGF signalling induces neural tissue in BMP-negative animal cells. This dose-dependent effect is consistent with the graded pattern of ERK activation seen in the embryo (Schohl and Fagotto, 2002). Further evidence for the ability of vertebrate embryonic cells to decide between a mesodermal and a neural fate include the conversion of mesoderm into neural cells in mouse and fish mutants of the FGF, Wnt and Nodal pathways, and in mutants of the T-box family (Chapman and Papaioannou, 1998; Ciruna et al., 1997; Feldman et al., 2000; Yoshikawa et al., 1997).

In conclusion, our work uncovers the crucial role played by pre-gastrula FGF signalling during Xenopus neural induction, in addition to the well-documented inhibition of BMP. The evidence presented here appears incompatible with the leading default model, and instead provides support for a shared use of FGF signalling in neural induction across the chordate phyllum.

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